

**Form PTO-1449** (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DKT. NO. 5760-16000

SERIAL NO. 10/723,729

APPLICANT: Borthakur et al.

GROUP: 2163

FILING DATE: November 26, 2003

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/UL/	C1	2006/0004759	5/26/05	Borthakur et al.			
	C2	2006/0004787	1/5/06	Borthakur et al.			
	C3	2005/0114363	5/26/05	Borthakur et al.			
	C4	2003/0154271	8/14/03	Baldwin et al.			
	C5	2004/0225730	11/11/04	Brown et al.			
	C6	6,026,474	2/15/00	Carter et al.			
	C7	2003/0093556	5/15/03	Yeung et al.			
	C8	2003/0151633	8/14/03	George et al.			
	C9	6,240,401	5/29/01	Oren et al.			
	C10	2001/0025311	9/27/01	Arai et al.			
	C11	2003/0172368	9/11/03	Alumbaugh et al.			
	C12	2004/0002942	1/1/04	Pudipeddi et al.			
	C13	2004/0059866	3/25/04	Patel et al.			
	C14	6,970,866	11/29/05	Pravetz et al.			
	C15	2005/0114381	5/26/05	Borthakur et al.			
	C16	2005/0038813	2/17/05	Apparao et al.			
	C17	2002/0049731	4/25/02	Kotani			
↓	C18	6,240,429	5/29/01	Thornton et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/UL/	C19	"The Business Rationale for Distributed (Remote) Document Capture," Verity White Paper, October 2003, downloaded from http://www.cardiff.com/cms/groups/public/documents/collateral/mk0535.pdf , 12 pages.					
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EXAMINER: /Uyen Le/

DATE CONSIDERED: 05/17/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)

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PATENT DOCUMENTS						
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS SUB CLASS	FILING DATE IF APPROPRIATE
/UL/	C20	"Native XML Management With Documentum," Documentum Technical White Paper, July 2003, downloaded from http://software.emc.com/collateral/content_management/documentum_family/wp_tech_xml.pdf , 15 pages.				
	C21	Autonomy XML White Paper, October 2003, downloaded from http://www.autonomy.com/content/downloads/White%20Papers/index.en.html , 11 pages.				
	C22	Autonomy Technology White Paper, November 2003, downloaded from http://www.autonomy.com/content/downloads/White%20Papers/index.en.html , 19 pages.				
	C23	"DCMI Abstract Data Model," Dublin Core Metadata Initiative, February 2004, downloaded from http://www.ukoln.ac.uk/metadata/dcml/abstract-model/2004-02-04/ , 17 pages.				
	C24	Staples et al., "The Fedora Project: An Open-source Digital Object Repository Management System," in D-Lib Magazine, v. 9, no. 4, April 2003, downloaded from http://web.archive.org/web/20040203065018/www.dlib.org/dlib/april03/staples/04staples.html , 12 pages.				
↓	C25	Wildermuth, "A Developer's Perspective on WinFS: Part 1," March 2004, downloaded from http://web.archive.org/web/20050414063209/http://msdn.microsoft.com/data/winfs/default.aspx?pull=/library/en-us/dnwinfst/html/winfsdevpersp.asp , 10 pages.				

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